

Health Care Financing Administration, HHS

§ 441.11

- 441.353 Supporting documentation required.
- 441.354 Aggregate projected expenditure limit (APEL).
- 441.355 Duration, extension, and amendment of a waiver.
- 441.356 Waiver termination.
- 441.357 Hearing procedures for waiver denials.
- 441.360 Limits on Federal financial participation (FFP).
- 441.365 Periodic evaluation, assessment, and review.

Subpart I—Community Supported Living Arrangements Services

- 441.400 Basis and purpose.
- 441.402 State plan requirements.
- 441.404 Minimum protection requirements.

AUTHORITY: Sec. 1102 of the Social Security Act (42 U.S.C. 1302).

SOURCE: 43 FR 45229, Sept. 29, 1978, unless otherwise noted.

§ 441.1 Purpose.

This part sets forth State plan requirements and limits on FFP for specific services defined in part 440 of this subchapter. Standards for payments for services provided in intermediate care facilities and skilled nursing facilities are set forth in part 442 of this subchapter.

Subpart A—General Provisions

§ 441.10 Basis.

This subpart is based on the following sections of the Act which state requirements and limits on the services specified or provide Secretarial authority to prescribe regulations relating to services:

- (a) Section 1102 for end-stage renal disease (§ 441.40).
- (b) Section 1138(b) for organ procurement organization services (§ 441.13(c)).
- (c) Sections 1902(a)(10)(A) and 1905(a)(21) for nurse practitioner services (§ 441.22).
- (d) Sections 1902(a)(10)(D) and 1905(a)(7) for home health services (§ 441.15).
- (e) Section 1903(i)(1) for organ transplant procedures (§ 441.35).
- (f) Section 1903(i)(5) for certain prescribed drugs (§ 441.25).
- (g) Section 1903(i)(6) for prohibition (except in emergency situations) of FFP in expenditures for inpatient hospital tests that are not ordered by the

attending physician or other licensed practitioner (§ 441.12).

(h) Section 1903(i)(18) for the requirement that each home health agency provide the Medicaid agency with a surety bond (§ 441.16).

(i) Section 1905(a)(4)(C) for family planning (§ 441.20).

(j) Sections 1905 (a)(12) and (e) for optometric services (§ 441.30).

(k) Section 1905(a)(17) for nurse-midwife services (§ 441.21).

(l) Section 1905(a) (following (a)(24)) for prohibition of FFP in expenditures for certain services (§ 441.13).

[60 FR 19862, Apr. 21, 1995, as amended at 63 FR 310, Jan. 5, 1998]

§ 441.11 Continuation of FFP for institutional services.

(a) *Basic conditions for continuation of FFP.* FFP may be continued for up to 30 days after the effective date of termination or expiration of a provider agreement, if the following conditions are met:

(1) The Medicaid payments are for recipients admitted to the facility before the effective date of termination or expiration.

(2) The State agency is making reasonable efforts to transfer those recipients to other facilities or to alternate care.

(b) *When the 30-day period begins.* The 30-day period begins on one of the following:

(1) The effective date of termination of the facility's provider agreement by HCFA;

(2) The effective date of termination of the facility's Medicaid provider agreement by the Medicaid agency on its own volition; or

(3) In the case of an ICF/MR, the later of—

(i) The effective date of termination or nonrenewal of the facility's provider agreement by the Medicaid agency on its own volition; or

(ii) The date of issuance of an administrative hearing decision that upholds the agency's termination or nonrenewal action.

(c) *Services for which FFP may be continued.* FFP may be continued for any of the following services, as defined in subpart A of part 440 of this chapter:

(1) Inpatient hospital services.